

TRISHAKTI ELECTRONICS & INDUSTRIES LTD.

CIN NO. L31909WB1985PLC039462
GSTIN No. 19AAACT915081ZH

Godrej Genesis, Salt Lake City, Sector – V,
10th Floor, Unit No. 1007, Kolkata – 700 091, INDIA
Phone : +91 33 40082489
Fax : +91 33 2230 9479
E-mail : info@trishakti.com
Web : www.trishakti.com

May 05,2023

The Manager Corporate Relationship Department BSE Limited 1st Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai - 400001	The Company Secretary The Calcutta Stock Exchange Limited 7, Lyons Range Kolkata-700001
BSE Security Code: 531279	CSE Scrip Code: 10030166

Sub: Regulation 24A- Annual Secretarial Compliance Report

Respected Sir/Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019; we are submitting herewith the Annual Secretarial Compliance Report of the Company for the financial year ended March 31, 2023, which has been issued and signed by Ms. Neha Poddar, Practising Company Secretary.

We hereby request you to take the same on your records for the confirmation of compliance

Thanking You,
Yours faithfully,

For Trishakti Electronics and Industries Limited

Trishakti Electronics & Industries Ltd.


Director.

Suresh Jhanwar
Managing Director
DIN: 00568879

Enclosed: as stated above



Neha Poddar

Company Secretary

129 Bangur Avenue
Block "A", Opposite Reliance Fresh
Kolkata – 700 055
Phone: + 91 99030 48692
csneha.poddar2710@gmail.com

To
The Board of Directors
Trishakti Electronics And Industries Ltd

Sub.: Annual Secretarial Compliance Report for the Financial Year 2022-23

Dear Sir,

I have been engaged by M/s. Trishakti Electronics And Industries Limited (hereinafter referred to as the "Company") bearing CIN: L31909WB1985PLC039462 whose Equity Shares are listed on BSE and CSE, to conduct an Audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended and read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019 and to issue the Annual Secretarial Compliance Report thereon.

It is the responsibility of the management of the Company records, devise proper systems to ensure Compliance with the provisions of all the applicable SEBI Regulations and Circulars/Guidelines issued thereunder from time to time and to ensure that the systems are adequate and are operating effectively.

My responsibility is to verify Compliance by the Company with the provisions of all applicable SEBI Regulations and Circulars/Guidelines issued from time to time and issue a Report thereon.

My Audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications considered and necessary and adequate for the said purpose. Annual Secretarial Compliance is attached herewith.

Neha
Poddar

Digitally signed by
Neha Poddar
Date: 2023.04.19
15:38:46 +05'30'

Neha Poddar
Practicing Company Secretary
Mem No:-A33026
Cp No:- 12190
Place:- Kolkata
Dated:- 08.04.2023



Neha Poddar

Company Secretary

129 Bangur Avenue
Block "A", Opposite Reliance Fresh
Kolkata – 700 055

Phone: + 91 99030 48692
csneha.poddar2710@gmail.com

Secretarial Compliance Report of TRISHAKTI ELECTRONICS AND
INDUSTRIES Limited
(CIN:L31909WB1985PLC039462)
for the year ended March 31, 2023

The Members,
Trishakti Electronics & Industries Ltd

I have examined:

- a) All the documents and records made available to me and explanations provided by the Company;
- b) the filings/submissions made by the company to the Stock Exchanges;
- c) Website of the Company;
- d) Any other documents/filings, as may be relevant, which has been relied upon to prepare this Certificate.

For the financial year ended on March 31, 2023 in respect of Compliance with the provisions of:

- 1. The Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, Circular, Guidelines issued thereunder and;
- 2. The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made there under and the Regulations, Circular, Guidelines issued thereunder by the Securities & Exchange Board of India (SEBI);

The specific Regulations whose provisions and the Circulars/Guidelines issued thereunder have been examined, includes -

- a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
- b) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- c) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; **Not Applicable for the aforesaid period**
- d) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

- e) The Securities and Exchange Board of India (Share Based Employees Benefits) Regulations, 2014;
Not Applicable for the period
- f) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
Not Applicable for the period
- g) The Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, regarding the Companies Act and dealing with client;
- i) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **Not Applicable for the aforesaid period**
- j) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- k) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable for the period**

and circulars/ guidelines issued thereunder and based on the above examination, I hereby report that, during the Review Period:

- a) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records.
- b) The following are the details of actions taken against the Company, its Promoters, Directors, either by the SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various Circulars) under the aforesaid Acts/Regulations and Circulars/Guidelines issued there under –

Sr. No.	Action Taken by	Details of Violation	Details of action/ Observations/ violation taken E.g. fines, remarks of the warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any
1.	BSE	Violation of Regulations	Imposition of Penalty of Rs. 58,61,060. Rs. 1825460 is waived off.	The Company has applied for penalty withdrawal. It is under process till date.
2.	BSE	Applied for Preferential Allotment	Approval by BSE pending	The Company has complied with all the compliances related to it.

- c) During the period under review the Company has complied with the provisions of the Act, Rules, Regulations, Circulars, Guidelines, Standards, etc. mentioned above, except in respect of matters specified below:

Compliance Requirement (Regulations/Circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company)
Not Applicable for the Audit period		

d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the Year ended	Action staken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity
Not Applicable for the Audit period				

Place: Kolkata
Dated: 08.04.2023

Neha Poddar

Digitally signed by Neha Poddar
Date: 2023.04.19 15:40:18 +05'30'

NEHA PODDAR
(Practicing Company Secretary)
ACS – 33026 / CP – 12190
UDIN NO:-A033026E000038856